

Disclosure Brochure Supplement

Michael Corrigan

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Michael Corrigan that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693-2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Michael Corrigan, Born November 1978

Education Background:

- Bachelor of Arts in Communications from the University of Minnesota, Minneapolis, Minnesota, May 2001

Business Background:

- The Advocate Group, LLC currently serving as Member, Chief Executive Officer, Chief Compliance Officer and Senior Wealth Advisor, November 2007 to present;
- TAG Financial Services, Inc., Agent, November 2011 to present;
- Mid American Financial Group/New England Financial, Registered Representative, January 2005 to November 2007;
- US Bancorp Investments, Client Service Specialist, June 2003 to December 2004.

Professional examination, verifications and/or designations include:

- Certified Financial Planner (CFP®)

CFP® designation is a financial planning credential awarded by the Certified Financial Planner Board of Standards, Inc. to individuals who satisfy its educational, work experience and ethics requirements. Recipients of the CFP® certification hold a bachelor's degree (or higher) from an accredited college or university, have completed three years of full-time personal financial planning experience and successfully completed the CFP® Certification Exam. In order to maintain the designation, CFP® holders must satisfy ongoing requirements which include at least 30 hours of continuing education every two years.

Item 3 – Disciplinary Information

Mr. Corrigan has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Insurance Agent

Mr. Corrigan is independently licensed to sell insurance and annuity products through various insurance companies. When acting in this capacity, The Advocate Group will receive commissions for selling insurance and annuity products. Mr. Corrigan may receive incentive awards from insurance companies for the recommendation/sale of annuities and other insurance products. The receipt of compensation and other incentive benefits may affect the judgment of The Advocate Group's associated persons when recommending products to its clients. While Mr. Corrigan endeavors at all times to put the interest of his/her clients first as a part of The Advocate Group's overall fiduciary duty to clients, clients should be aware that

the receipt of commissions and additional compensation itself creates a conflict of interest. Clients are never obligated or required to purchase insurance products from or through The Advocate Group or Mr. Corrigan and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Mr. Corrigan with other economic benefits as a result of Mr. Corrigan's recommendation or sale of the product sponsors' investments. The economic benefits received by Mr. Corrigan from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Mr. Corrigan in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives, these arrangements present a conflict of interest that may affect recommendations of Mr. Corrigan.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm. Mr. Corrigan's activities of providing general advice on behalf of The Advocate Group are under direct supervision of Ms. Rebecca Wachter. Inquiries about Mr. Corrigan should be forwarded to Ms. Wachter at (952) 693-2630.

Disclosure Brochure Supplement

Seth Heimermann

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Seth Heimermann that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693-2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Mr. Heimermann, Born January 1978

Education Background:

- Master of Business Administration, University of Minnesota, Carlson School of Management, Minneapolis, Minnesota, May 2008
- Bachelor of Science - Finance, St. Cloud State University, St. Cloud, Minnesota, May 2003

Business Background:

- The Advocate Group, LLC, currently serving as Member and Chief Investment Officer, October 2019 to present;
- Columbia Threadneedle Investments, Equity Research Analyst–Value and Income Team, June 2014 to 2018;
- US Bank Asset Management, Portfolio Manager/Equity Research Analyst, February 2013 to May 2014;
- Knelman Asset Management (f/k/a Lazard Asset Management), Equity Research Analyst–Large Cap Growth, September 2008 to February 2013;
- US Bank Private Asset Management, Client Portfolio Manager, June 2003 to August 2006.

Professional examination, verifications and/or designations include:

- Certified Financial Analyst (CFA®)

CFA® is a professional designation given by the CFA Institute (formerly AIMR) that measures the competence and integrity of financial analysts. Candidates must (1) hold an undergraduate degree and have four years of professional experience involving investment decision-making, or (2) have completed four years of qualified work experience (full time, but not necessarily investment related). Educational requirements is a self-study program which is 250 hours of study to pass three levels of exams covering areas such as accounting, economics, ethics, money management and security analysis.

Item 3 – Disciplinary Information

Mr. Heimermann has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Mr. Heimermann is the sole owner of Ronin Ventures, LLC, a consulting firm based in Minneapolis, MN. Ronin Ventures, LLC provides non-investment related consulting services to small businesses. Mr. Heimermann provides capital and general business strategy to the firm. Mr. Heimermann receives periodic

income from Ronin Ventures, LLC for his services. Ronin Ventures, LLC has no affiliation with The Advocate Group or its other employees, and is not a registered investment advisor, a broker dealer or an affiliate thereof.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Mr. Heimermann with other economic benefits as a result of Mr. Heimermann's recommendation or sale of the product sponsors' investments. The economic benefits received by Mr. Heimermann from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Mr. Heimermann in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives, these arrangements present a conflict of interest that may affect recommendations of Mr. Heimermann.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm including the services provided by Mr. Heimermann. Mr. Corrigan can be contacted at (952) 693-2630.

Disclosure Brochure Supplement

Hunter Hillstrom

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Hunter Hillstrom that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693.2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Hunter Hillstrom, Born October 1992

Education Background:

- Bachelor of Science in Finance from University of Minnesota, 2014

Business Background:

- The Advocate Group, LLC, currently serving as Client Portfolio Manager, September 2022 to Present;
- Unemployed, April 2022 to September 2022
- Pohlad Investment Management, LLC, Associate, April 2016 to April 2022
- RSM US, LLP, Associate, July 2014 to April 2016

Relevant Designation:

CHARTERED FINANCIAL ANALYST® (CFA®)

A Chartered Financial Analyst (CFA) is a professional designation given by the CFA Institute, formerly AIMR, that measures the competence and integrity of financial analysts. Candidates are required to pass three levels of exams covering areas such as accounting, economics, ethics, money management and security analysis. Before you can become a CFA charter holder, you must have four years of investment/financial career experience and hold a bachelor's degree. Successful candidates take an average of four years to earn their CFA charter. The program covers a broad range of topics relating to investment management, financial analysis, stocks, bonds and derivatives, and provides a generalist knowledge of other areas of finance. The CFA charter is one of the most respected designations in finance, considered by many to be a gold standard in the field of investment analysis.

Item 3 – Disciplinary Information

Mr. Hillstrom has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Mr. Hillstrom has no other business activities to report.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Mr. Hillstrom with other economic benefits as a result of Mr. Hillstrom's recommendation or sale of the product sponsors' investments. The economic benefits received by Mr. Hillstrom from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of

conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Mr. Hillstrom in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives, these arrangements present a conflict of interest that may affect recommendations of Mr. Hillstrom.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm including the services provided by Mr. Hillstrom. Mr. Corrigan can be contacted at (952) 693-2630.

Disclosure Brochure Supplement

Dylan Ibarra

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Dylan Ibarra that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693.2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Dylan Ibarra, Born September 2000

Education Background:

- Bachelor of Business Administration in Financial Planning and Financial Markets from University of Minnesota Duluth, 2023

Business Background:

- The Advocate Group, LLC, currently serving as Financial Planning Associate, September 2023 to present;
- Fidelity Investments, Financial Representative, June 2023 to September 2023
- JustTakeAction, Bartender, November 2021 to May 2023
- Fidelity Investments, Intern, June 2022 to August 2022
- ActiveKidsAssociation, Lead coach, March 2020 to September 2021
- ISD 12, Kids Care Taker, July 2018 to March 2020

Item 3 – Disciplinary Information

Mr. Ibarra has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Mr. Ibarra has no other business activities to report.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Mr. Ibarra with other economic benefits as a result of Mr. Ibarra's recommendation or sale of the product sponsors' investments. The economic benefits received by Mr. Ibarra from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Mr. Ibarra in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives, these arrangements present a conflict of interest that may affect recommendations of Mr. Ibarra.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm including the services provided by Mr. Ibarra. Mr. Corrigan can be contacted at (952) 693-2630.

Disclosure Brochure Supplement

Sabrina Karns

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Sabrina Karns that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693.2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Sabrina Karns, Born December 1981

Education Background:

- Certificate in Financial Planning Program, 2017
- Masters of Science in Personal Financial Planning from the College for Financial Planning, 2021
- Bachelor of Science in Business with a concentration in Accounting from University of Phoenix, 2011

Business Background:

- The Advocate Group, LLC, currently serving as Financial Planner, November 2021 to present;
- FPS Financial, Inc. Research Analyst, September 2015 to November 2021
- Core Financial Management, LLC, Client Services Coordinator, October 2011 to September 2015
- The Craig Group, LLC, Administrative Assistant, March 2010 to October 2011
- Remington Ranch, Office Manager and Bookkeeper, April 2006 to March 2010
- Pacific Western Bank, Loan Assistant, February 2003 to April 2006

Professional examination, verifications and/or designations include:

- Certified Financial Planner (CFP®)

CFP® designation is a financial planning credential awarded by the Certified Financial Planner Board of Standards, Inc. to individuals who satisfy its educational, work experience and ethics requirements. Recipients of the CFP® certification hold a bachelor's degree (or higher) from an accredited college or university, have completed three years of full-time personal financial planning experience and successfully completed the CFP® Certification Exam. In order to maintain the designation, CFP® holders must satisfy ongoing requirements which include at least 30 hours of continuing education every two years.

Item 3 – Disciplinary Information

Ms. Karns has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Insurance Agent

Ms. Karns is independently licensed to sell insurance and annuity products through various insurance companies. When acting in this capacity, The Advocate Group will receive commissions for selling

insurance and annuity products. Ms. Karns may receive incentive awards from insurance companies for the recommendation/sale of annuities and other insurance products. The receipt of compensation and other incentive benefits may affect the judgment of The Advocate Group's associated persons when recommending products to its clients. While Ms. Karns endeavors at all times to put the interest of his/her clients first as a part of The Advocate Group's overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest. Clients are never obligated or required to purchase insurance products from or through The Advocate Group or Ms. Karns and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Ms. Karns with other economic benefits as a result of Ms. Karns' recommendation or sale of the product sponsors' investments. The economic benefits received by Ms. Karns from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Ms. Karns in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives, these arrangements present a conflict of interest that may affect recommendations of Ms. Karns.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm including the services provided by Ms. Karns. Mr. Corrigan can be contacted at (952) 693-2630.

Disclosure Brochure Supplement

Elizabeth Kindseth

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Elizabeth Kindseth that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693-2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Elizabeth Kindseth, Born April 1989

Education Background:

- Bachelor of Accounting and Business Administration, Finance from University of Minnesota-Duluth, Duluth, Minnesota, December 2011

Business Background:

- The Advocate Group, LLC, currently serving as Member and Wealth Advisor, January 2012 to present;
- First Associated Investment Advisors, Administrative Assistant, May 2010 to December 2011.

Professional examination, verifications and/or designations include:

- Certified Financial Planner (CFP®)

CFP® designation is a financial planning credential awarded by the Certified Financial Planner Board of Standards, Inc. to individuals who satisfy its educational, work experience and ethics requirements. Recipients of the CFP® certification hold a bachelor's degree (or higher) from an accredited college or university, have completed three years of full-time personal financial planning experience and successfully completed the CFP® Certification Exam. In order to maintain the designation, CFP® holders must satisfy ongoing requirements which include at least 30 hours of continuing education every two years.

Item 3 – Disciplinary Information

Ms. Kindseth has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Ms. Kindseth has no other business activities to report.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Ms. Kindseth with other economic benefits as a result of Ms. Kindseth's recommendation or sale of the product sponsors' investments. The economic benefits received by Ms. Kindseth from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Ms. Kindseth in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or

representatives, these arrangements present a conflict of interest that may affect recommendations of Ms. Kindseth.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm including the services provided by Ms. Kindseth. Mr. Corrigan can be contacted at (952) 693-2630.

Disclosure Brochure Supplement

Emily Meisinger

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Emily Meisinger that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693-2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Emily Meisinger, Born July 1984

Education Background:

- Certificate in Financial Planning Program, University of Saint Thomas, Minneapolis, Minnesota, May 2013
- Bachelor of Business Administration, Accountancy from University of Wisconsin-Eau Claire, Eau Claire, Wisconsin, May 2008

Business Background:

- The Advocate Group, LLC, currently serving as Member and Wealth Advisor, January 2015 to present;
- Cargill Inc., Financial Reporting Accountant, January 2011 to January 2015;
- Ernst & Young. LLP, Senior Auditor, September 2008 to December 2010.

Professional examination, verifications and/or designations include:

- Certified Financial Planner (CFP®)

CFP® designation is a financial planning credential awarded by the Certified Financial Planner Board of Standards, Inc. to individuals who satisfy its educational, work experience and ethics requirements. Recipients of the CFP® certification hold a bachelor's degree (or higher) from an accredited college or university, have completed three years of full-time personal financial planning experience and successfully completed the CFP® Certification Exam. In order to maintain the designation, CFP® holders must satisfy ongoing requirements which include at least 30 hours of continuing education every two years.

Item 3 – Disciplinary Information

Ms. Meisinger has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Ms. Meisinger has no other business activities to report.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Ms. Meisinger with other economic benefits as a result of Ms. Meisinger's recommendation or sale of the product sponsors' investments. The economic benefits received by Ms. Meisinger from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of

conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Ms. Meisinger in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives, these arrangements present a conflict of interest that may affect recommendations of Ms. Meisinger.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm including the services provided by Ms. Meisinger. Mr. Corrigan can be contacted at (952) 693-2630.

Disclosure Brochure Supplement

Bailey Norby

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Bailey Norby that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693-2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Bailey Norby, Born June 1996

Education Background:

- Certificate from the American College of Financial Services, King of Prussia, PA, September 2021
- Master's in Business Administration with an Emphasis in Finance, Creighton University, Omaha, NE, June 2018
- Bachelor of Science in Business Administration, Creighton University, Omaha, NE, June 2017

Business Background:

- The Advocate Group, LLC, currently serving as Financial Planner, June 2021 to present;
- Lutz Financial Services, LLC., Financial Planner, October 2018 to May 2021;
- Five Star Financial, Intern, July 2017 to August 2017.

Professional examination, verifications and/or designations include:

- Chartered Financial Consultant (“ChFC® “)
- Certified Financial Planner (CFP®)

ChFC® designation offered by the American College of Financial Services is prepared with the essentials of financial planning, including insurance, income taxation, retirement planning, investments, and estate planning. Study areas include behavioral finance, financial planning for families with special needs dependents, small business planning, financial planning for clients in diverse communities, financial planning for divorce, and more.

Recipients of the ChFC® certification hold a bachelor's degree (or higher) from an accredited college or university, have completed three years of full-time personal financial planning experience and successfully completed eight courses and exams. In order to maintain the designation, ChFC® holders must satisfy ongoing requirements which include at least 30 hours of continuing education every two years.

CFP® designation is a financial planning credential awarded by the Certified Financial Planner Board of Standards, Inc. to individuals who satisfy its educational, work experience and ethics requirements. Recipients of the CFP® certification hold a bachelor's degree (or higher) from an accredited college or university, have completed three years of full-time personal financial planning experience and successfully completed the CFP® Certification Exam. In order to maintain the designation, CFP® holders must satisfy ongoing requirements which include at least 30 hours of continuing education every two years.

Item 3 – Disciplinary Information

Ms. Norby has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Ms. Norby has no other business activities to report.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Ms. Norby with other economic benefits as a result of Ms. Norby's recommendation or sale of the product sponsors' investments. The economic benefits received by Ms. Norby from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Ms. Norby in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives, these arrangements present a conflict of interest that may affect recommendations of Ms. Norby.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm including the services provided by Ms. Norby. Mr. Corrigan can be contacted at (952) 693-2630.

Disclosure Brochure Supplement

Sean O'Hagan

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Sean O'Hagan that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693-2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Sean O'Hagan, Born May 1986

Education Background:

- Bachelor of Arts in Economics from Saint Olaf College, Northfield, Minnesota, May 2008

Business Background:

- The Advocate Group, LLC, currently serving as Member and Senior Wealth Advisor, October 2008 to present;
- TAG Financial Services, Inc., Agent, November 2011 to Present.

Professional examination, verifications and/or designations include:

- Certified Financial Planner (CFP®)

CFP® designation is a financial planning credential awarded by the Certified Financial Planner Board of Standards, Inc. to individuals who satisfy its educational, work experience and ethics requirements. Recipients of the CFP® certification hold a bachelor's degree (or higher) from an accredited college or university, have completed three years of full-time personal financial planning experience and successfully completed the CFP® Certification Exam. In order to maintain the designation, CFP® holders must satisfy ongoing requirements which include at least 30 hours of continuing education every two years.

Item 3 – Disciplinary Information

Mr. O'Hagan has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Insurance Agent

Mr. O'Hagan is independently licensed to sell insurance and annuity products through various insurance companies. When acting in this capacity, The Advocate Group will receive commissions for selling insurance and annuity products. Mr. O'Hagan may receive incentive awards from insurance companies for the recommendation/sale of annuities and other insurance products. The receipt of compensation and other incentive benefits may affect the judgment of The Advocate Group's associated persons when recommending products to its clients. While Mr. O'Hagan endeavors at all times to put the interest of his/her clients first as a part of The Advocate Group's overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest. Clients are never obligated or required to purchase insurance products from or through The Advocate Group or Mr. O'Hagan and may choose any independent insurance agent and insurance company to purchase insurance

products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Mr. O'Hagan with other economic benefits as a result of Mr. O'Hagan's recommendation or sale of the product sponsors' investments. The economic benefits received by Mr. O'Hagan from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Mr. O'Hagan in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives, these arrangements present a conflict of interest that may affect recommendations of Mr. O'Hagan.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm including the services provided by Mr. O'Hagan. Mr. Corrigan can be contacted at (952) 693-2630.

Disclosure Brochure Supplement

Jacob Worthen

The Advocate Group, LLC
601 Carlson Parkway, Suite 1100
Minnetonka, MN 55305
Brochure Dated – February 2024

This brochure supplement provides information about Jacob Worthen that supplements The Advocate Group, LLC brochure. You should have received a copy of that brochure. Please contact us at (952) 693.2630 if you did not receive The Advocate Group, LLC brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Business Experience

Jacob Worthen, Born October 1995

Education Background:

- Bachelor of Arts in Finance with a minor in Leadership Studies from Bethel University, 2018

Business Background:

- The Advocate Group, LLC, currently serving as Investment Analyst, November 2021 to present;
- M&E Catalyst Group, Investment Analyst, September 2020 to October 2021
- CliqStudios, Sales & Data Analyst, March 2020
- TruStone Financial Credit Union, Asset Liability Management Analyst, October 2019 to February 2020
- CliqStudios, Sales Operations Analyst, July 2018 to October 2019

Item 3 – Disciplinary Information

Mr. Worthen has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Mr. Worthen has no other business activities to report.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, certain product sponsors may provide Mr. Worthen with other economic benefits as a result of Mr. Worthen's recommendation or sale of the product sponsors' investments. The economic benefits received by Mr. Worthen from The Advocate Group and product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Mr. Worthen in providing various services to clients. Although The Advocate Group endeavors at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives, these arrangements present a conflict of interest that may affect recommendations of Mr. Worthen.

Item 6 – Supervision

Michael Corrigan is the Chief Compliance Officer of The Advocate Group and ultimately responsible for supervising activities and services provided by the firm including the services provided by Mr. Worthen. Mr. Corrigan can be contacted at (952) 693-2630.